

### **Basics of electron beam-plasma interactions;** experiment and simulation

### **Rod Boswell**



Space Plasma Power and Propulsion Research School of Physics and Engineering The Australian National University

### SP3's activities: summary

SP3 undertakes research into low temperature plasmas and their applications. Plasma thrusters for nano-sats PIC simulations and plasma modeling, plasma processing of surfaces, atmospheric pressure plasmas for medicine space physics and thermodynamics

#### INDUSTRY

EADS-ASTRIUM electric propulsion Lockheed/Martin electric propulsion LAM RESEARCH microelectronics OREGON PHYSICS focused ion beams from Ar, Xe plasma source not liquid metals SLInnovation, turnkey Ion Routers Airity/CCS DC/DC conversion and rf generation

### Interaction of electron beams with plasmas

If a charged particle passes through a medium with a velocity greater than the phase velocity, then waves are emitted (Heavyside, Čerenkov)



# Boats moving faster than surface water waves create a similar wake



Electron beams interact with plasmas in a similar manner, the instability is convective rather than absolute in that it grows along the direction of the beam velocity rather than growing everywhere in time. (from Briggs)



Figure 2.1. Evolution of pulse disturbance in an unstable system.

# An unmagnetized plasma supports three natural modes of oscillation (waves)



# How does a 3-wave parametric instability grow in a plasma?



Laser light propagates through the plasma

Oscillations in the plasma begin to radiate scattered light

The beating of the two light waves creates a ponderomotive force pushing the particles into the troughs of the envelope

If the bunching of the particles matches an electrostatic mode, the 3 waves become resonant and grow

### 3 wave parametric decay



These can be considered as a Feynman diagram with one input wave, a scattering matrix, and two output waves. The energy and momentum of the decay waves must equal those of the input wave:

$$\omega_0 = \omega_1 + \omega_2$$
  

$$k_0 = k_1 + k_2$$
  
since Energy =  $\hbar v$  and momentum P =  $\hbar k$ 

arranging: 
$$\omega_0 - \omega_2 = \omega_3$$
  
 $k_0 - k_2 = k_3$ 

the frequencies  $\omega_0$  and  $\omega_2$  are beating and a non-linear interaction element will produce  $\omega_3$ .

or dividing  $(\omega_0 - \omega_2)/(k_0 - k_2) = \omega_3/k_3$ i.e. the group velocity of the high frequency waves must equal the phase velocity of the low frequency wave

For unmagnetised plasmas (or for  $\omega_{pe} \ll \omega_{ce}$ ) the interaction occurs near the plasma frequency.  $\omega^2 = \omega_{pe}^2 + 3/2 v_{eth}^2 k^2$ 



Electron beams support fast and slow waves, propagating with and against the beam direction, these waves interact with the electron plasma (Langmuir) waves to produce instabilities.



In a magnetised plasma the beam interacts with oblique waves on the upper hybrid and whistler resonance cones



Figure 1.1: The dispersion relation of Simpson and Dunn (1966) for a beam-plasma interaction in cylindrical geometry. The circles show the regions where the fast and slow beam waves may couple with the Trivelpiece-Gould modes of the plasma.

Rocket experiments launched electron beams along a field line to the earth's congugate point, beam plasma discharge postulated to explain rocket neutralisation (and Hall Effect neutraliser used!)



Fig. 1. Schematic representation of the experimental arrangement.



Bernstein et. al. in 1978 observed two types of BPD, low density ( $\omega_{pe} < \omega_{ce}$ ) and high density ( $\omega_{pe} > \omega_{ce}$ ). WOMBAT (Waves On Magnetised Beams And Turbulence) was constructed at the ANU to simulate the Space Shuttle environment and active experiments with charged particle beams.



Figure 2.1: Schematic diagram of the WOMBAT apparatus.

Four types of BPD were discovered in WOMBAT ( $f_{ce} = 100$ MHz) BPD1 when ( $\omega_{pe} < \omega_{ce}$ ) BPD2 when ( $2\omega_{ce} > \omega_{pe} > \omega_{ce}$ ) BPD3 and BPD4 ( $\omega_{pe} >> \omega_{ce}$ )



Figure 2.8: Typical spectra of the first four BPD's, when  $\mathcal{E}_b = 300 \text{ eV}$  and  $B_0 = 36 \text{ G}$ .

As the beam current was increased, the frequency of the BPD1 bursts increased and the deduced plasma density increased linearly with beam current (ie. ionisation rate).



Figure 2.11: a) Frequency of the BPD1 bursts with increasing  $I_b$ . b) Plasma density estimated by assuming that the oscillations are at  $f_e$ .

The bursts grew axially away from the electron gun at a rate approximately  $\sim (n_{beam}/n_{plasma})^{1/4}\omega_{pe}$ . They were axially modulated by the finite number of wavelengths that could fit in the system (Pierce)



Figure 2.13: Envelopes of the 30-40 MHz bursts measured with 16 probes spaced 6 cm apart along the axis of the electron beam.



Figure 4.1: The dispersion relation for the original Pierce instability. The solid lines are the real part of  $\gamma$ , i.e. the growth rate, and the broken lines are the imaginary part of  $\gamma$ , i.e. the frequency.



Figure 2.10: Correlation between a) envelope of the RF burst, b) current to a photomultiplier tube, and c) current reaching the collector of the energy analyser with  $V_3 = -15$ volts, for BPD1 bursts.

For large amplitude bursts, the beam produced waves with sufficient amplitude reverse the motion of the electrons, ie. the beam was stopped by it's own instability!



Figure 2.14: Envelopes of the bursts of about 50 MHz measured with 16 probes spaced 6 cm apart along the axis of the electron beam.



The electron beam is severely perturbed by the instability, scattering and phase mixing down to lower energies. The additional Beam Plasma Discharge produced an excess of low energy electrons which increase the ionisation rate.

Fig. 2. Electron energy distributions obtained from the differentiated time averaged energy analyzer results, (a) just before BPD,  $I_b \sim 3 \text{ mA}$ ; (b) just after BPD,  $I_b \sim 6 \text{ mA}$ ; (c) developed BPD,  $I_b \sim 12 \text{ mA}$ . As the potential on the analyser affects the plasma, the beam currents are accurate only to a factor of two.



Waves generated by the beam can become sufficiently high to parametrically decay into lower frequency waves.



Figure 1. Schematic diagram of the experiment.

In this experiment, the beam convectively excites waves at the upper hybrid frequency (A) which then decay to a non propagating wave at the electron cyclotron frequency and a whistler on the resonance cone (B) and finally to broad band waves by cascading (C). The spatial evolution of the pump, sideband and daughter are shown in (D).



Figure 2. Wave spectra in the beam at 35 cm (a), 50 cm (b), and 75 cm (c) and (d) amplitude as a function of distance from the gun for waves at 1.3, 1.01 and 0.3  $\omega_{cc}$ .

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Chart of line frequencies vs radial position 14.0 13.9 Center of Ī 13.8 column ≣ (ZHW) ASUAL (MHZ) (ZHW) ASUAL (MHZ) (ZHW) = = = 13.2 0.2 0.1 0.0 -2 -8 -6 -4 0 2 4 6 8 Radial position (cm)







FIG. 2. Low-frequency spectrum as a function of angle to  $\vec{B}_0$  for 30 W input power. The eight grey shades represent an amplitude range of 20 dB.

## **Instabilities in Vasimr**





simple helicon dispersion  $\lambda \sim 5 \ge 10^9 (B/fn)^{1/2}$ 

Assuming that  $n \sim 10^{13}$  cm<sup>-3</sup> and B  $\sim 700$  Gauss, conditions typical near the helicon source:

For the 25 MHz pump For the 20 MHz daughter For the 5 MHz idler

$\lambda \sim 8.5 \text{ cm}$	$k \sim 0.74$
$\lambda \sim 9.5$ cm.	$k \sim 0.66$
$\lambda \sim 19 \text{ cm}$	$k \sim 0.33$

Wave Amplitude vs. Position

Wave Amplitude vs. Position

